



09-09-02

2661 \$/

Modified PTO/SB/21 (08-00)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

41

Application Number

09/314,593

Filing Date

May 19, 1999

First Named Inventor

Dale C. Tonogai

Group Art Unit

2661

Examiner Name

Kenneth N. Vanderpuye

Attorney Docket No.

SHO005 US

**ENCLOSURES (check all that apply)**

- |   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (1 page)               | <input type="checkbox"/> Assignment Papers<br>(for an Application)        | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input checked="" type="checkbox"/> Fee Attached --- PTO-2038                   | <input checked="" type="checkbox"/> Formal Drawings (21 sheets)           | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input checked="" type="checkbox"/> Amendment / Reply (16 pages)                | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations                                | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                              | <input checked="" type="checkbox"/> Change of Correspondence Address      | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):               |
| <input type="checkbox"/> Express Abandonment Request                            | <input type="checkbox"/> Terminal Disclaimer                              | Return Receipt Post Card  |
| <input type="checkbox"/> Information Disclosure Statement                       | <input type="checkbox"/> Request for Refund                               | <b>RECEIVED</b>   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                 | <input type="checkbox"/> CD, Number of CD(s) _____                        | <b>SEP 12 2002</b>  |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   |   | <b>Technology Center 2600</b>   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 |   |   |

Remarks

Please update the attorney docket number as shown above.  
If there are any questions, please call the undersigned at  
408/982-8200, extension 3.

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm  
or  
Individual Name

Omkar K. Suryadevara, Reg. No. 36, 320  
Silicon Valley Patent Group LLP  
2350 Mission College Boulevard, Suite 360  
Santa Clara, California 95054

Signature

Date

September 5, 2002

via EXPRESS MAIL LABEL NO.

ET 887 719 228 US

**FEE TRANSMITTAL  
FOR FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/314,593
Filing Date	May 19, 1999
Inventor(s)	Dale C. Tonogai
Group Art Unit	2661
Examiner Name	Kenneth N. Vanderpuye
Attorney Docket No.	SHO005 US

**TOTAL AMOUNT OF PAYMENT****(\$ 144)****METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge any underpayments to:
- Deposit Account Number 50-2263
- Deposit Account Name Silicon Valley Patent Group LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ **Payment for above-identified amount is enclosed (see attached PTO-2038):**
- ☐ Check ☒ Credit Card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES**

	Total Claims	Previous Paid	Extra Claims	Fee from below	Fee Paid
Total Claims	22	-20 =	2	x 9	= \$18
Independent Claims	6	-3 =	3	x 42	= \$126
Multiple Dependent					= \$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$144)**

\*\* or number previously paid, if greater; For reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions of the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per properties (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify) Please charge any underpayment to Deposit Account

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)****(\$)****RECEIVED**

SEP 12 2002

Technology Center 2000

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Omkar K. Suryadevara	Registration No. (Attorney/Agent)	36, 320	Telephone	(408) 982-8200
Signature	<i>S. Omkar</i>	Date	September 5, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.